



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Howard W. Lutnick et al.

Application No.: 10/699,858 Confirmation No.: 5127

Filed : October 31, 2003

For : KEYBOARD TRADING SYSTEM

Group Art Unit : 2661

New York, New York
August 19, 2005

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR THIRD
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Third Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted:

- within three months of the application filing date;
- more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

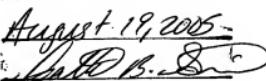
In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Statement to Deposit Account No. 06-1075 (Order No. 000741-0086). A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



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I hereby certify that this
Correspondence is being
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August 19, 2005
J. D. B. S.

Signature of Person Certifying



CF-86

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THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants wish to call the attention of the Examiner to the following documents:

U.S. Patent Documents

Levy et al.	3,541,526	(11/17/70)
Sakaguchi et al.	Des. 308,693	(06/19/90)
Lutnick et al.	6,850,907	(02/01/05)

The aforementioned documents are listed on the accompanying Form PTO/SB/08A (submitted in duplicate), and copies of the documents are enclosed herewith.

It is respectfully requested that the documents be
(1) fully considered by the Patent and Trademark Office during

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /P.S./

examination of this application; and (2) printed on any patent which may issue on this application. Applicants respectfully request that a copy of Form PTO/SB/08A, as considered and initialed by the Examiner, be returned with the next communication.

Respectfully submitted,



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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANTS**
 (use as many sheets as necessary)

Sheet

1

of

1

Complete if known

Application Number	10/699,858
Confirmation No.	5127
Filing Date	October 31, 2003
First Named Inventor	Howard W. Lutnick et al.
Art Unit	2661
Examiner Name	Not yet assigned
Attorney Docket Number	CF-86

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/P.S./		3,541,526	11/17/1970	Levy et al.	
		Des. 309,603	06/10/1998	Sakaguchi et al.	
/P.S./		6,850,907	02/01/2005	Lutnick et al.	

Examiner Signature

Date Considered

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code ¹ - Number ² - Kind Code ³	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁴

Examiner Signature

/Paul Shumate/

Date Considered

02/19/2008

¹ EXAMINER: Initial reference identifying whether or not the document is being referred to in the body of the statement. This is the same element of the citation as the document identifier and is considered to include cited prior art from the same patent classification.

² Applicant's unique citation designation number (optional). ³ See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 601.04. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial.

NOT CONSIDERED EXCEPT WHERE LINED THROUGH. /P.S./